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27560

PATENT  
Customer Number 22,852  
Attorney Docket No.: 7451.0026-00  
InterTrust Ref. No.: IT-24.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marc E. Strohwig, et al.

Application No.: 09/629,114

Filed: July 31, 2000

For: METHODS AND SYSTEMS FOR  
TRANSACTION RECORD  
DELIVERY USING THRESHOLDS  
AND MULTI-STAGE PROTOCOL

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)  
) Group Art Unit: 2756  
)  
) Examiner: Unassigned  
)  
)  
)

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OCT 02 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law,  
Applicants reserve the right to present to the office the relevant facts and law regarding  
the appropriate status of such documents.

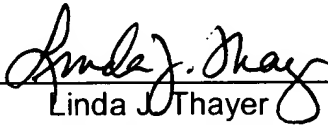
Applicants further reserve the right to take appropriate action to establish the  
patentability of the disclosed invention over the listed documents, should one or more of  
the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please  
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 28, 2001

By:   
Linda J. Thayer  
Reg. No. 45,681